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FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 296846US0PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP05/06113 March 30, 2005 March 31, 2004 TITLE OF INVENTION CANCER ANTIGEN PEPTIDES DERIVED FROM WT1 APPLICANT(S) FOR DO/EO/US Haruo SUGIYAMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. a. PTO-1449 b. Cited References c. Statement of Relevancy An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information: Notice of Priority/Drawings (2 Sheets)/Request for Consideration/Sequence Listing (8 Pages)/ PCT/IB/304/ PCT/IB/308

IAPO1 Rec'd PCT/PTO 28 SEP 2006

U.S. APPLICATION NO. PCT/JP05/06113						ATTORNEY'S DOCKET NUMBER 296846US0PCT				
The following fees are submitted:					00	113	CALCULATIONS PTO USE ONLY			
									1	
24. Basic national fee\$300							\$300.00			
25. Examination fee										
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0										
All other situations\$200										
26. Search fee										
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0										
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100										
International Search Report prepared by an ISA other than the US and provided to the Office										
or previously communicated to the US by the IB										
								\$400.00		
TOTAL OF 24, 25 AND 26 =										
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is										
\$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Total Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole			RATE				
- 100 =		/ 50 =				x \$250.00	\$			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath										
	or declaration after the date of commencement of the national stage (37 CFR 1.492(h						\$			
CLAIMS				NUMBER EXTRA	 	RATE			1	
Total claims		22	- 20 =	2	X	\$50.00	\$100.00			
Independent claims 3 - 3 = 0 x						\$200.00	\$0.00			
MULTIPLE DEPENDENT CLAIMS (if applicable) + \$360.00							\$360.00			
TOTAL OF ABOVE CALCULATIONS =							\$1,360.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL =										
								\$1,360.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).										
TOTAL NATIONAL FEE =										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be										
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + Petition fee of \$1,500.00 for Petition to Revive (37 CFR 1.137 (b)). +										
TOTAL FEES ENCLOSED =										
								o be		
-		 -					refunded:		\$	
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a. A check in the amount of \$ to cover the above fees is enclosed.										
b Disconsiderate and Described Assessment May 45 0000 in the constant of the c										
b Please charge my Deposit Account No. <u>15-0030</u> in the amount of \$ to cover the above fees.										
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDCE TO:										
CUSTOMER NUMBER						SIGNATURE Survey Sochan				
22850						Surinder Sachar				
Tel. (703) 413-3000						Norman F. Oblon Registration No. 34,423				
Fax. (703) 413-2220 24,618 (OSMMN 1/06)							4050			
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DOCKET NO.: 296846US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Haruo SUGIYAMA SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP05/06113

INTERNATIONAL FILING DATE: March 30, 2005

FOR: CANCER ANTIGEN PEPTIDES DERIVED FROM WT1

REQUEST FOR CONSIDERATION OF DOCUMENTS CITED IN INTERNATIONAL SEARCH REPORT

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon Attorney of Record Registration No. 24,618

Surinder Sachar

Registration No. 34,423

 $\begin{array}{c} \text{Customer Number} \\ 22850 \end{array}$

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